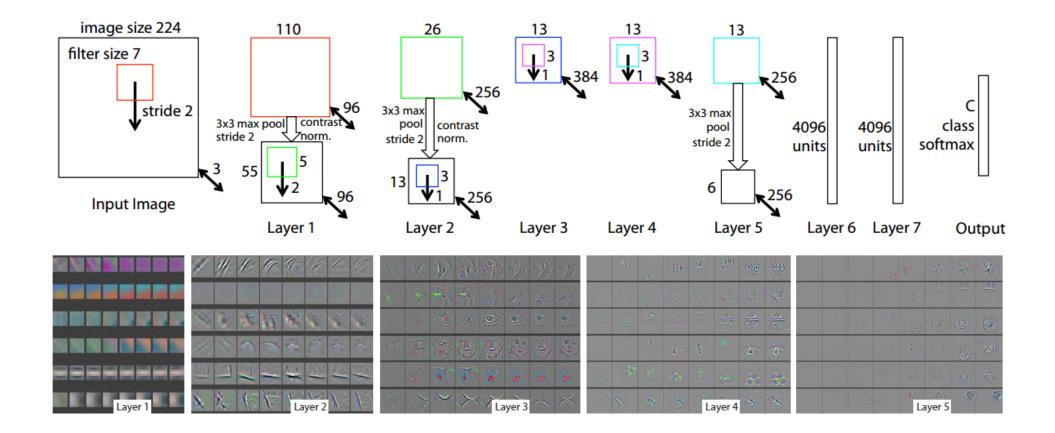
# Deep Convolutional Neural Networks for Image Classification

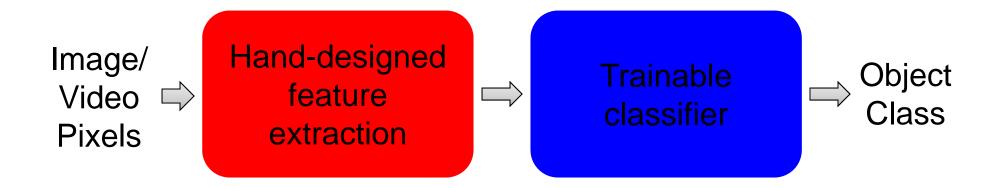


Many slides from Rob Fergus (NYU and Facebook)

#### Overview

- Shallow vs. deep architectures
- Background
  - Traditional neural networks
  - Inspiration from neuroscience
- Stages of CNN architecture
- Visualizing CNNs
- State-of-the-art results
- Packages

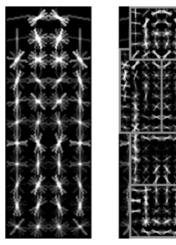
#### **Traditional Recognition Approach**



- Features are not learned
- Trainable classifier is often generic (e.g. SVM)

## **Traditional Recognition Approach**

- Features are key to recent progress in recognition
- Multitude of hand-designed features currently in use
  - SIFT, HOG, .....
- Where next? Better classifiers? Or keep building more features?



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Felzenszwalb, Girshick, McAllester and Ramanan, PAMI 2007

Yan & Huang (Winner of PASCAL 2010 classification competition)

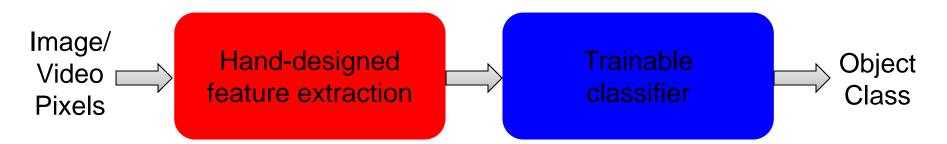
## What about learning the features?

- Learn a *feature hierarchy* all the way from pixels to classifier
- Each layer extracts features from the output of previous layer
- Train all layers jointly



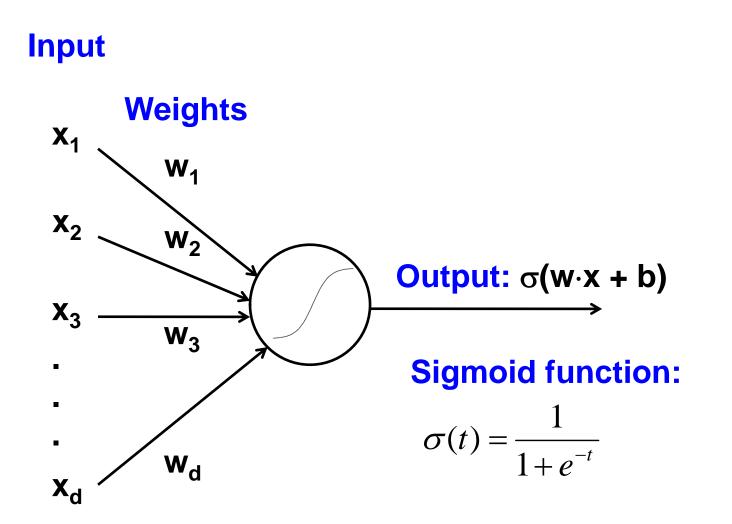
#### "Shallow" vs. "deep" architectures

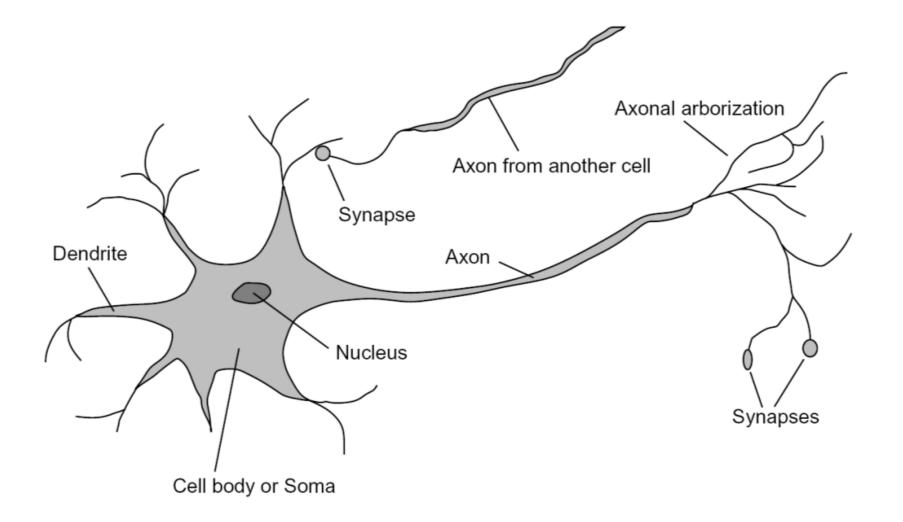
#### Traditional recognition: "Shallow" architecture



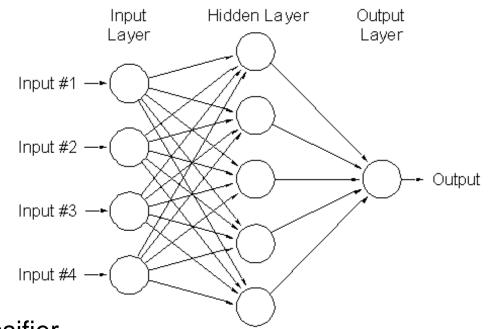


#### **Background: Perceptrons**





#### Background: Multi-Layer Neural Networks



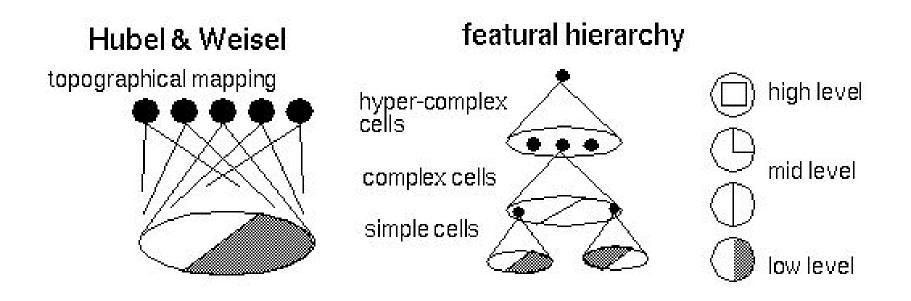
- Nonlinear classifier
- Training: find network weights w to minimize the error between true training labels y<sub>i</sub> and estimated labels f<sub>w</sub>(x<sub>i</sub>):

$$E(\mathbf{w}) = \sum_{i=1}^{N} (y_i - f_{\mathbf{w}}(\mathbf{x}_i))^2$$

- Minimization can be done by gradient descent provided *f* is differentiable
  - This training method is called **back-propagation**

#### Hubel/Wiesel Architecture

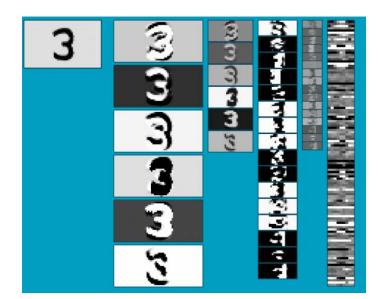
- D. Hubel and T. Wiesel (1959, 1962, Nobel Prize 1981)
  - Visual cortex consists of a hierarchy of *simple*, *complex*, and *hyper-complex* cells

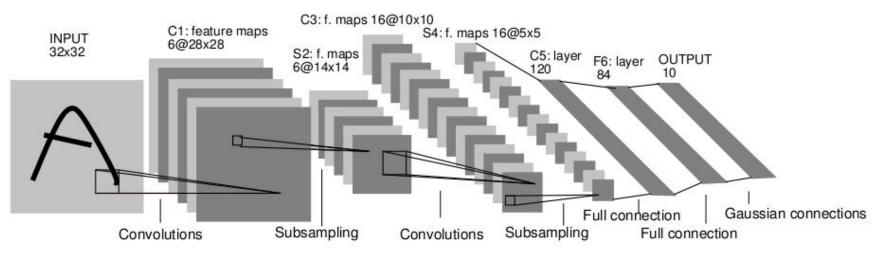


#### <u>Source</u>

## Convolutional Neural Networks (CNN, Convnet)

- Neural network with specialized connectivity structure
- Stack multiple stages of feature extractors
- Higher stages compute more global, more invariant features
- Classification layer at the end

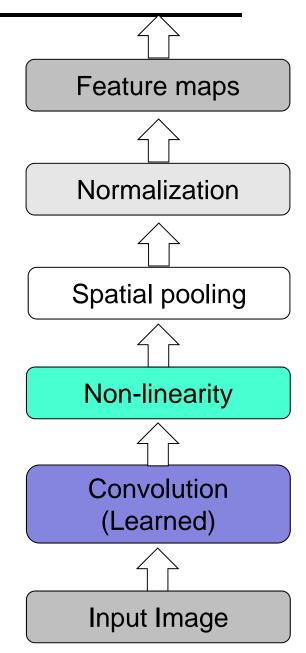




Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, <u>Gradient-based learning applied to</u> <u>document recognition</u>, Proceedings of the IEEE 86(11): 2278–2324, 1998.

### Convolutional Neural Networks (CNN, Convnet)

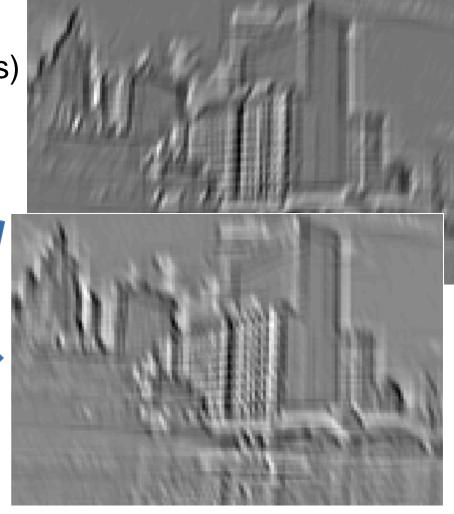
- Feed-forward feature extraction:
  - 1. Convolve input with learned filters
  - 2. Non-linearity
  - 3. Spatial pooling
  - 4. Normalization
- Supervised training of convolutional filters by back-propagating classification error



## 1. Convolution

- Dependencies are local
- Translation invariance
- Few parameters (filter weights)
- Stride can be greater than 1 (faster, less memory)



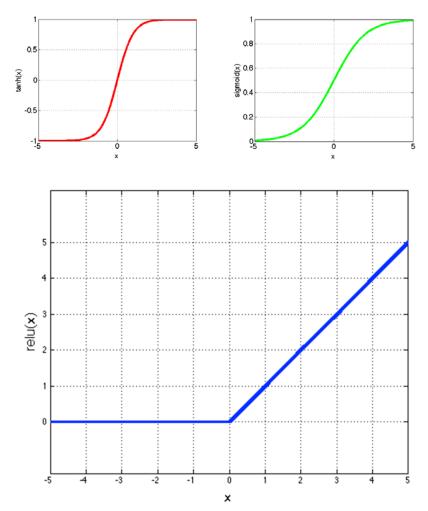


Input

Feature Map

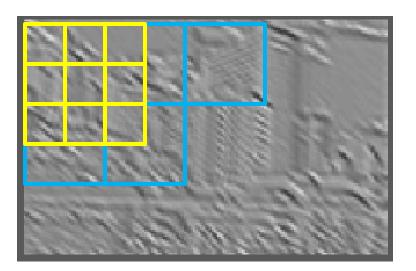
#### 2. Non-Linearity

- Per-element (independent)
- Options:
  - Tanh
  - Sigmoid: 1/(1+exp(-x))
  - Rectified linear unit (ReLU)
    - Simplifies backpropagation
    - Makes learning faster
    - Avoids saturation issues
      - $\rightarrow$  Preferred option

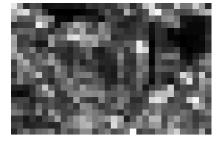


## 3. Spatial Pooling

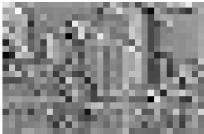
- Sum or max
- Non-overlapping / overlapping regions
- Role of pooling:
  - Invariance to small transformations
  - Larger receptive fields (see more of input)





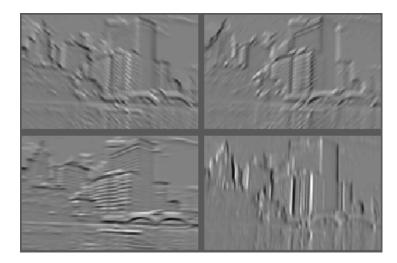


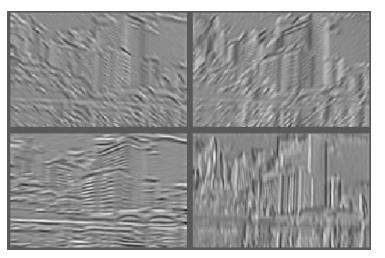




#### 4. Normalization

- Within or across feature maps
- Before or after spatial pooling

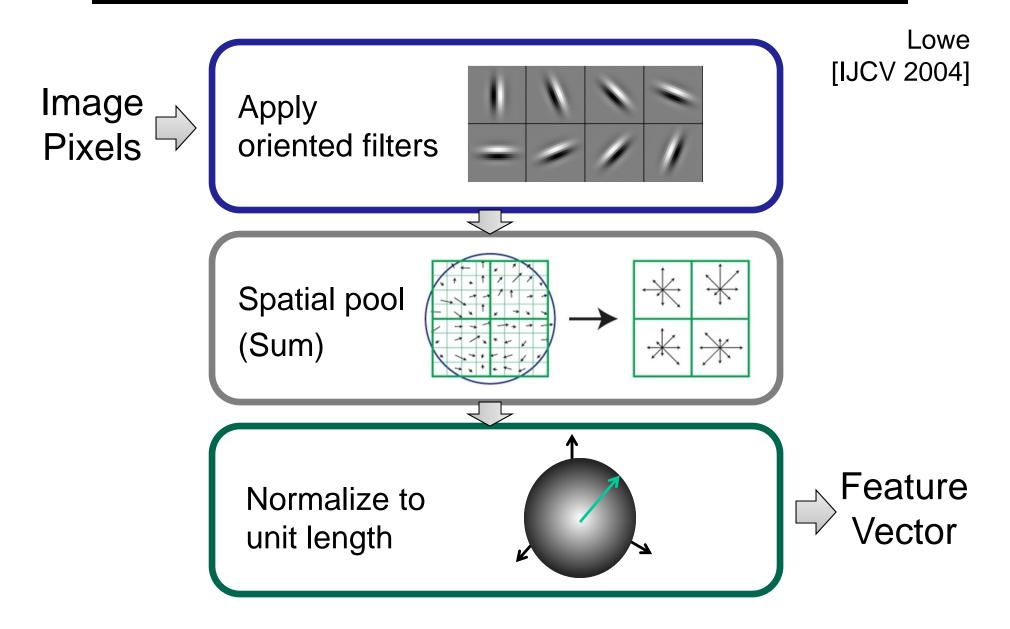




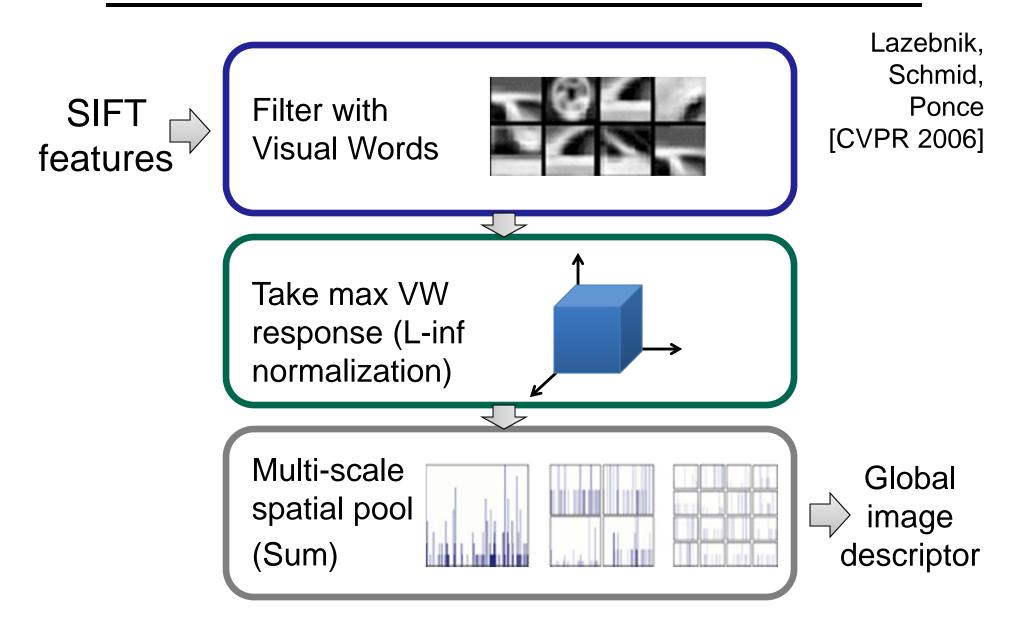
**Feature Maps** 

Feature Maps After Contrast Normalization

#### **Compare: SIFT Descriptor**



#### **Compare: Spatial Pyramid Matching**



## **Convnet Successes**

- Handwritten text/digits
  - MNIST (0.17% error [Ciresan et al. 2011])
  - Arabic & Chinese [Ciresan et al. 2012]
- Simpler recognition benchmarks
  - CIFAR-10 (9.3% error [Wan et al. 2013])
  - Traffic sign recognition
    - 0.56% error vs 1.16% for humans [Ciresan et al. 2011]
- But until recently, less good at more complex datasets
  - Caltech-101/256 (few training examples)





## ImageNet Challenge 2012



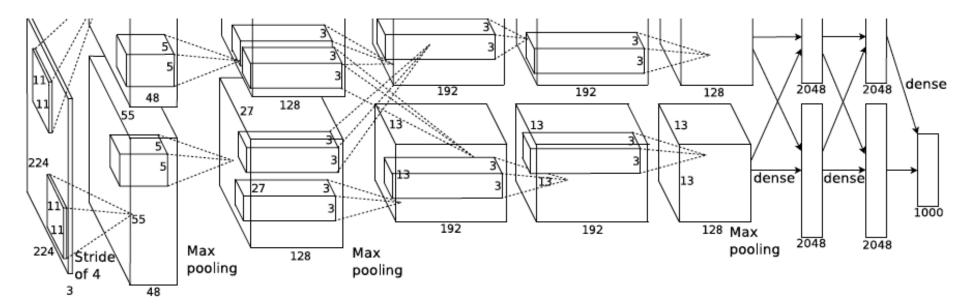
[Deng et al. CVPR 2009]

- ~14 million labeled images, 20k classes
- Images gathered from Internet
- Human labels via Amazon Turk
- Challenge: 1.2 million training images, 1000 classes

A. Krizhevsky, I. Sutskever, and G. Hinton, <u>ImageNet Classification with Deep</u> <u>Convolutional Neural Networks</u>, NIPS 2012

### ImageNet Challenge 2012

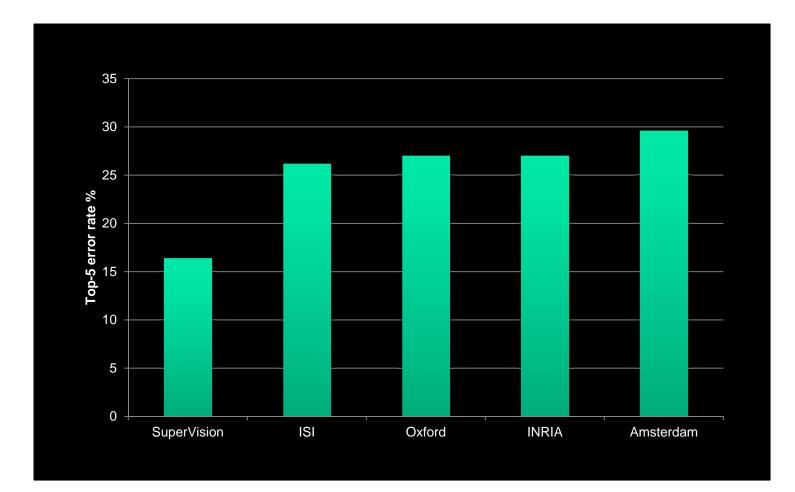
- Similar framework to LeCun'98 but:
  - Bigger model (7 hidden layers, 650,000 units, 60,000,000 params)
  - More data (10<sup>6</sup> vs. 10<sup>3</sup> images)
  - GPU implementation (50x speedup over CPU)
    - Trained on two GPUs for a week
  - Better regularization for training (DropOut)



A. Krizhevsky, I. Sutskever, and G. Hinton, <u>ImageNet Classification with Deep</u> <u>Convolutional Neural Networks</u>, NIPS 2012

#### ImageNet Challenge 2012

Krizhevsky et al. -- **16.4% error** (top-5) Next best (non-convnet) – **26.2% error** 



#### **Visualizing Convnets**

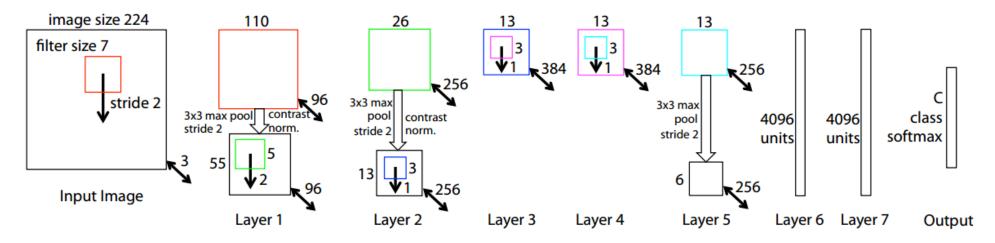
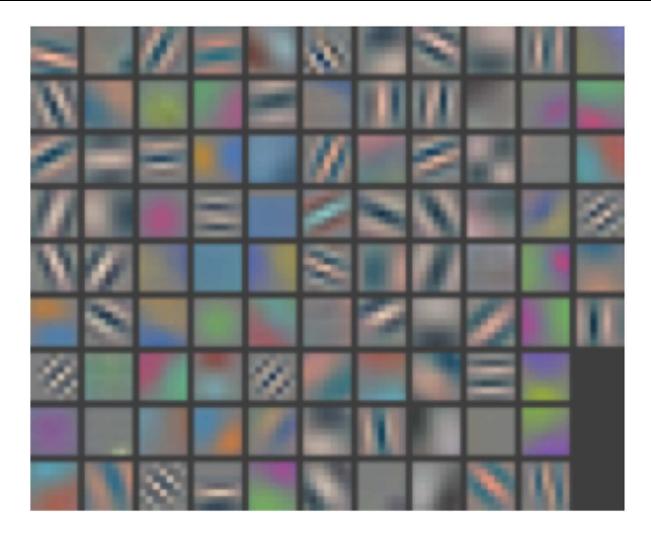


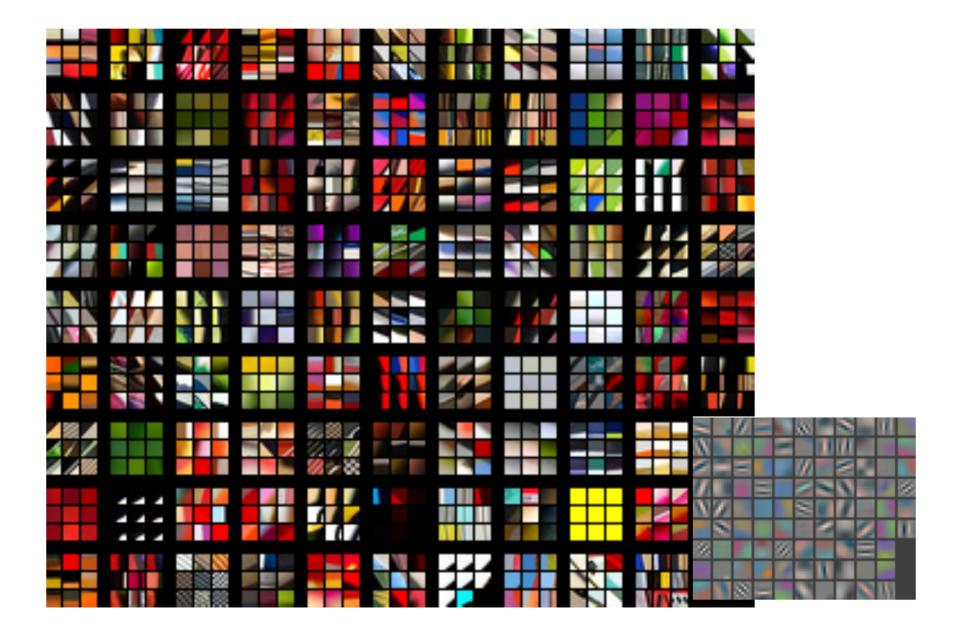
Figure 3. Architecture of our 8 layer convnet model. A 224 by 224 crop of an image (with 3 color planes) is presented as the input. This is convolved with 96 different 1st layer filters (red), each of size 7 by 7, using a stride of 2 in both x and y. The resulting feature maps are then: (i) passed through a rectified linear function (not shown), (ii) pooled (max within 3x3 regions, using stride 2) and (iii) contrast normalized across feature maps to give 96 different 55 by 55 element feature maps. Similar operations are repeated in layers 2,3,4,5. The last two layers are fully connected, taking features from the top convolutional layer as input in vector form ( $6 \cdot 6 \cdot 256 = 9216$  dimensions). The final layer is a C-way softmax function, C being the number of classes. All filters and feature maps are square in shape.

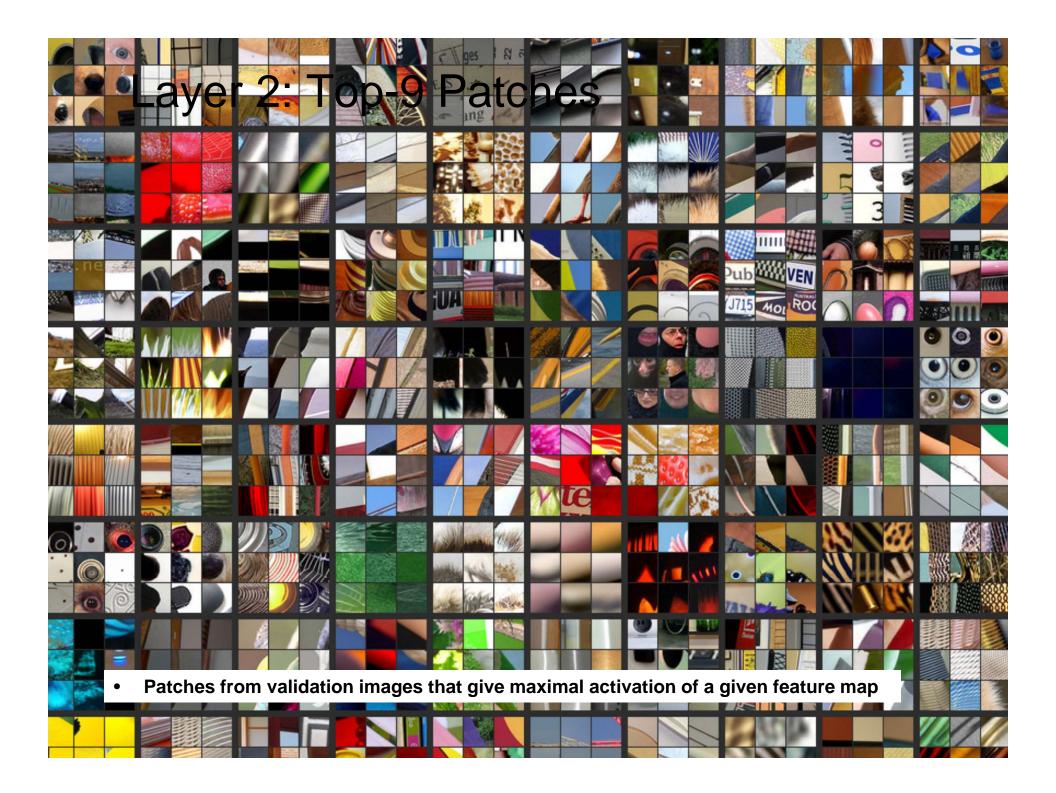
M. Zeiler and R. Fergus, <u>Visualizing and Understanding Convolutional Networks</u>, arXiv preprint, 2013

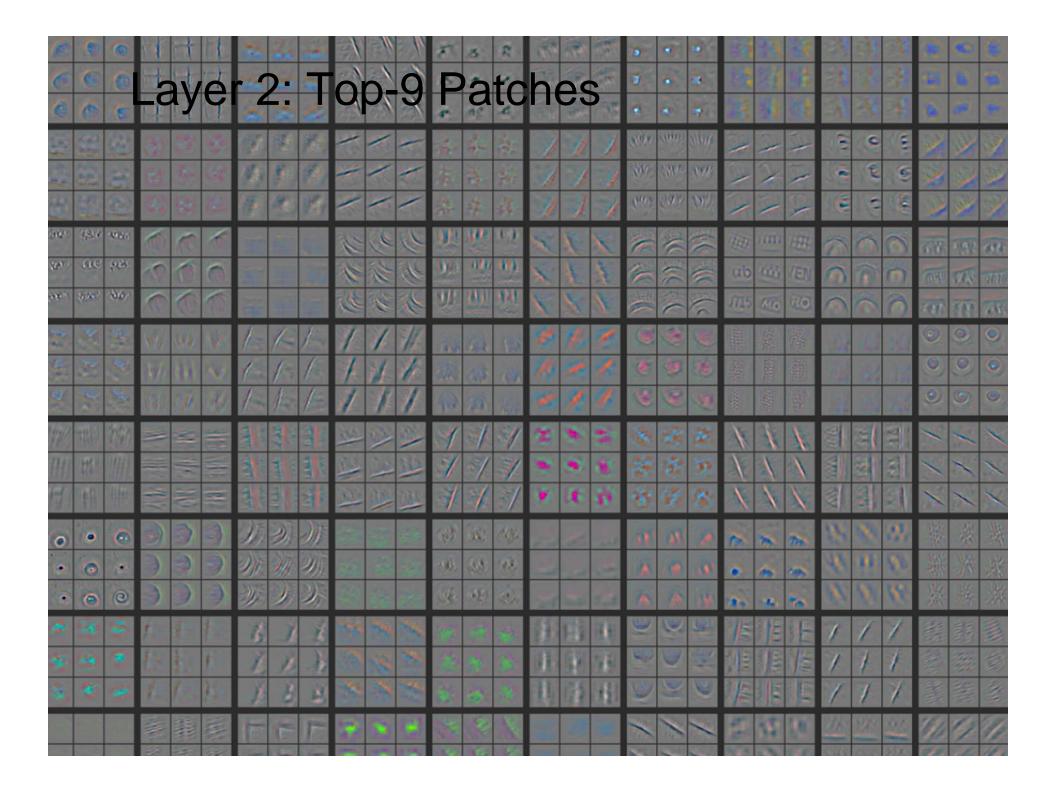
#### Layer 1 Filters

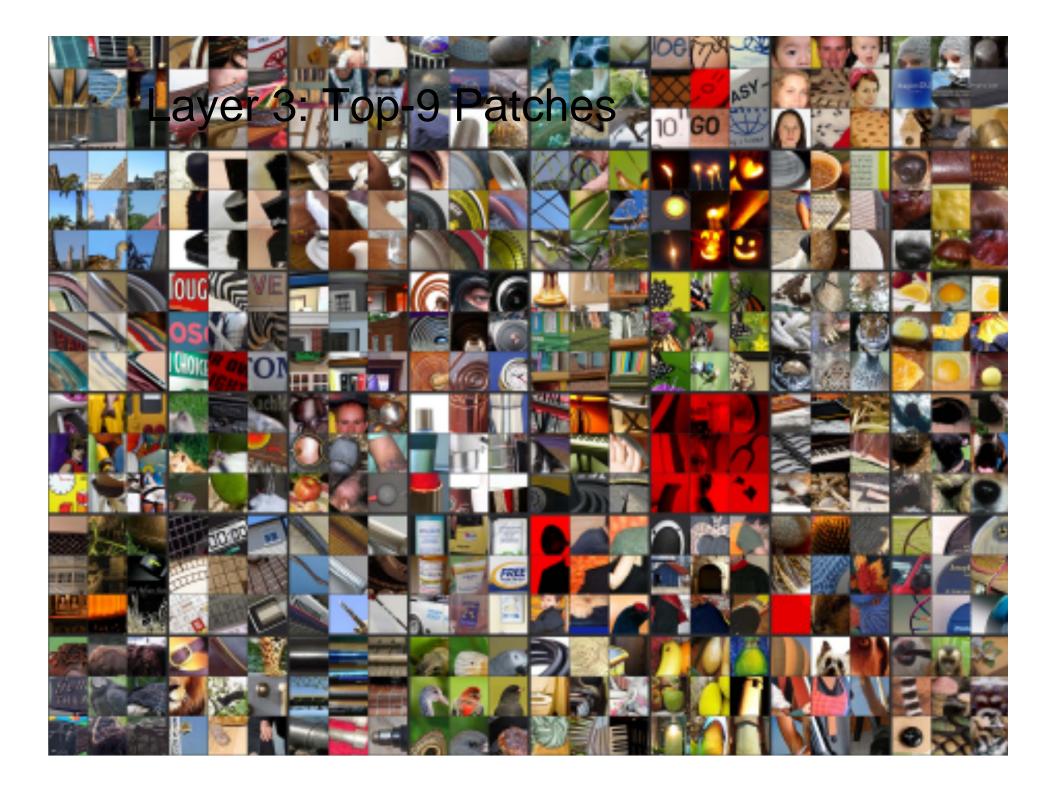


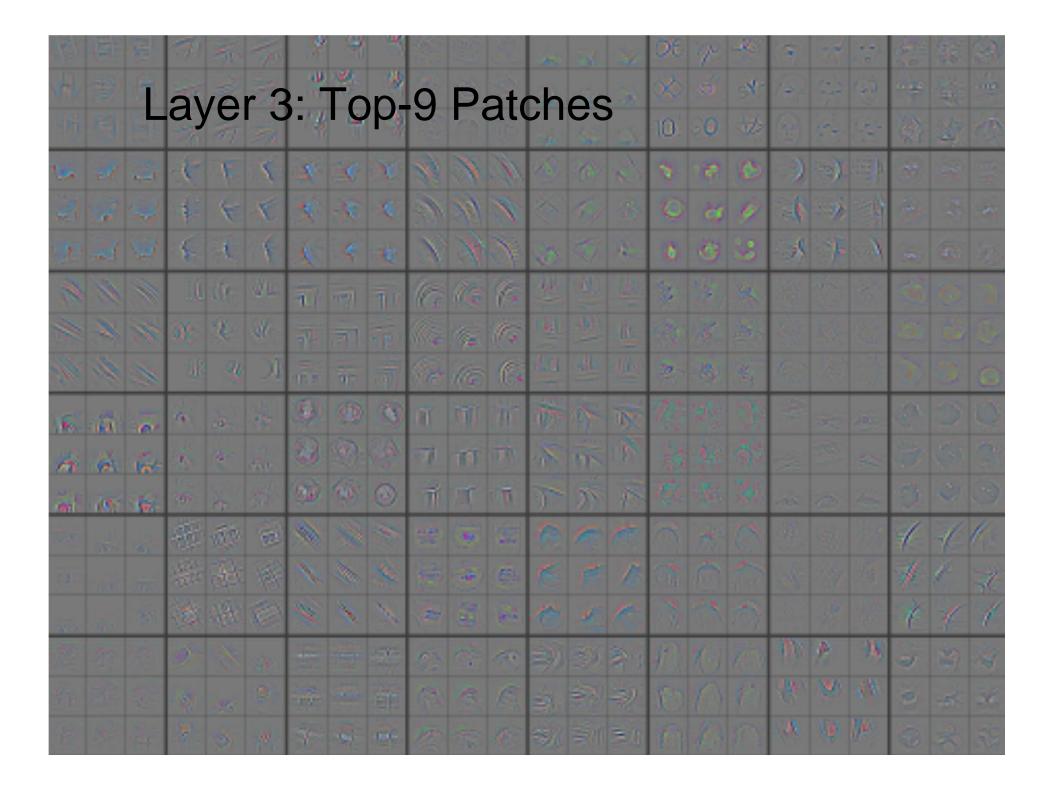
#### Layer 1: Top-9 Patches

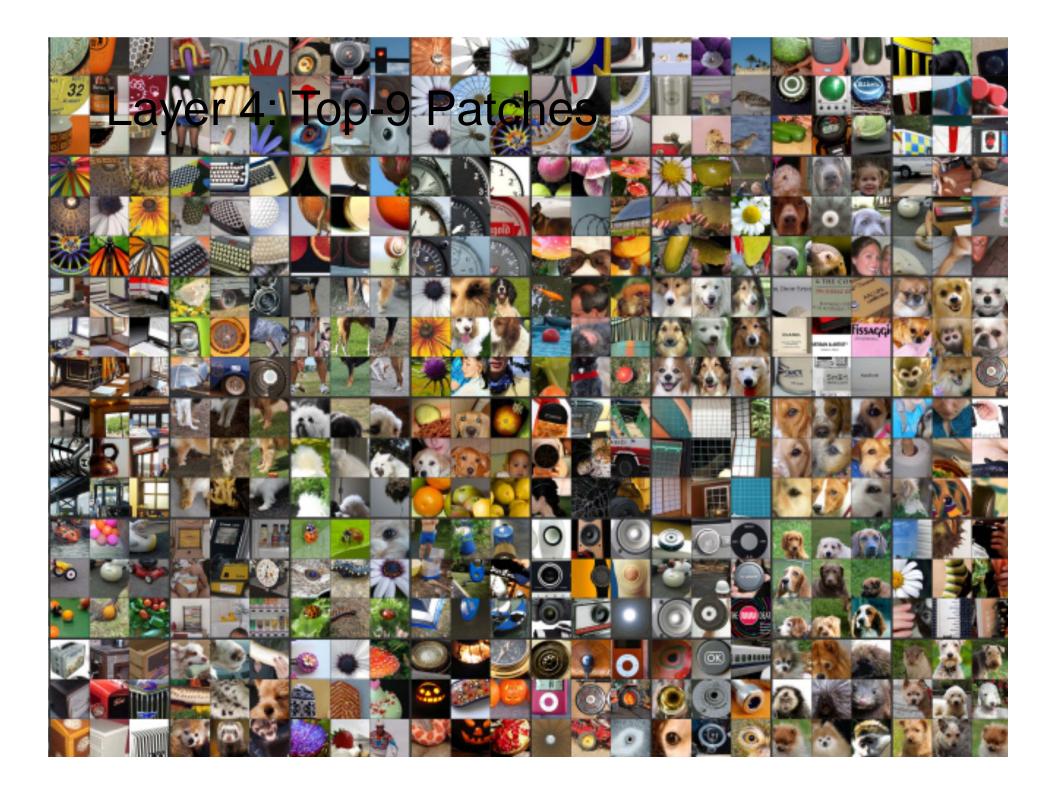




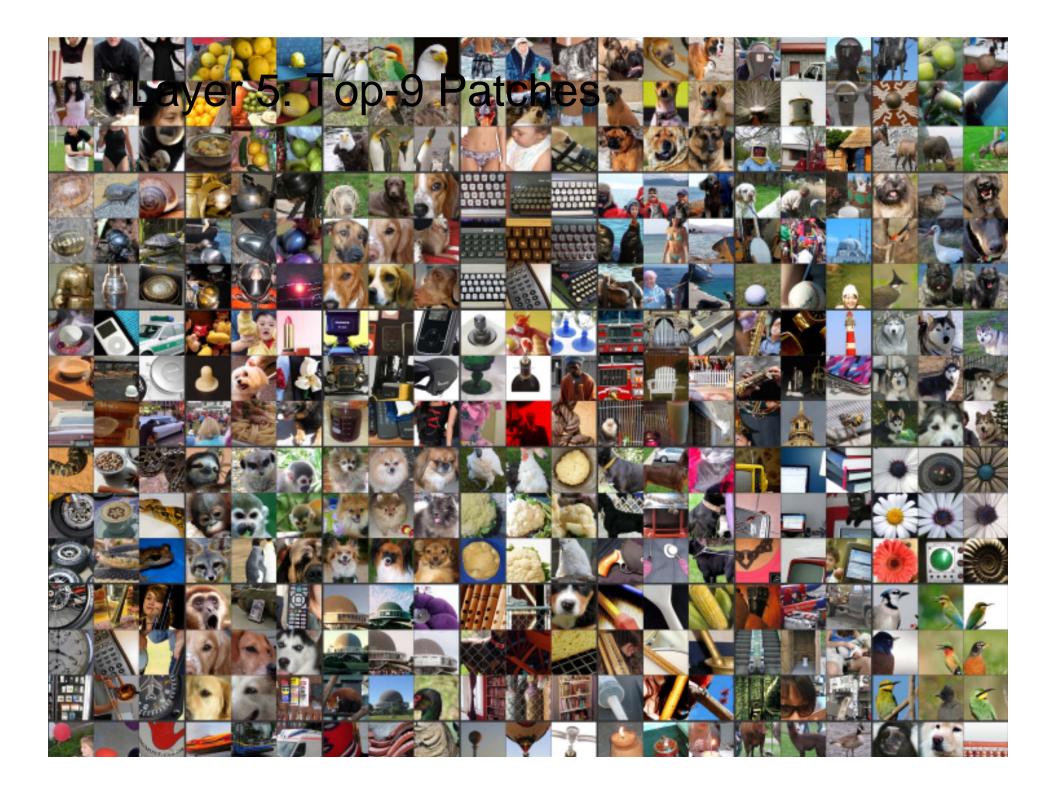






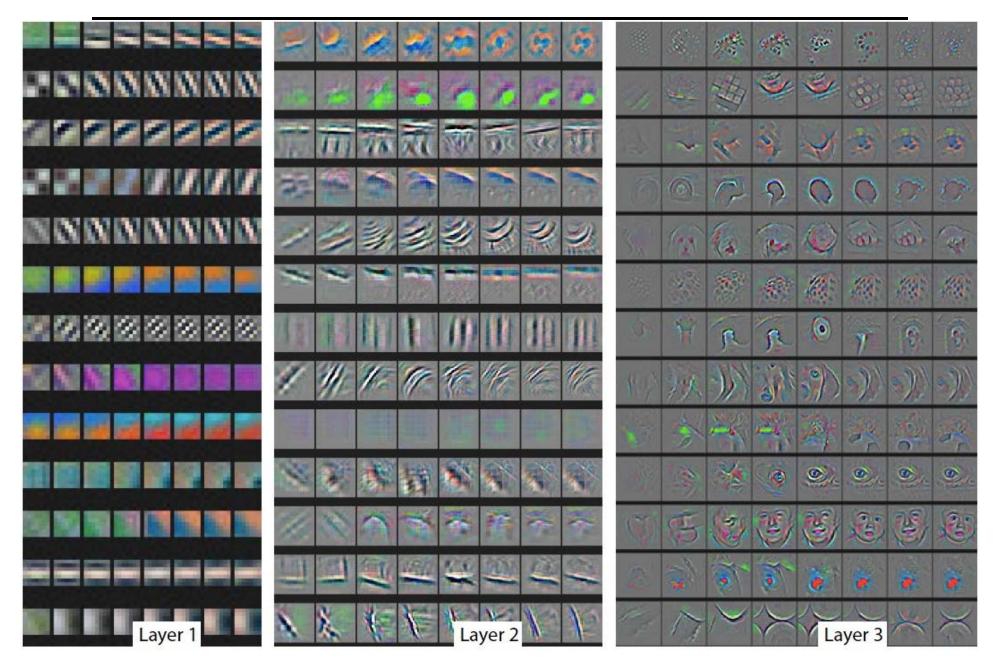


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#### **Evolution of Features During Training**



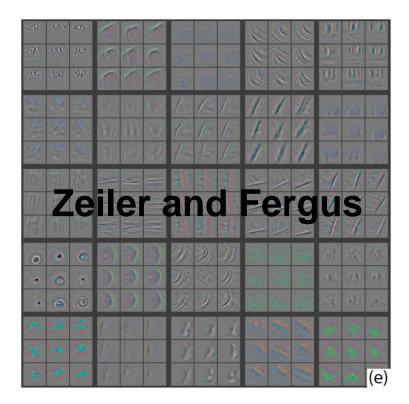
#### **Evolution of Features During Training**

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## **Diagnosing Problems**

- Visualization of Krizhevsky et al.'s architecture showed some problems with layers 1 and 2
  - Large stride of 4 used
- Alter architecture: smaller stride & filter size
  - Visualizations look better
  - Performance improves





## **Occlusion Experiment**

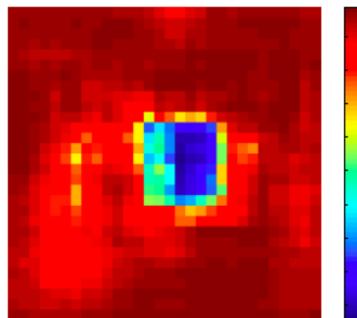
- Mask parts of input with occluding square
- Monitor output

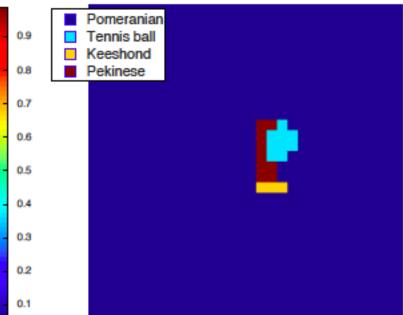




### p(True class)

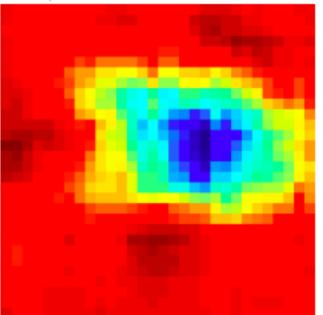
#### Most probable class

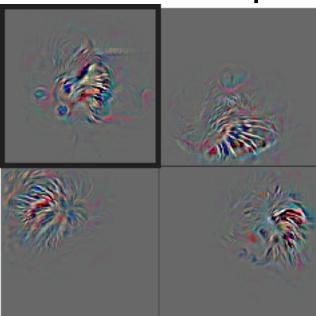






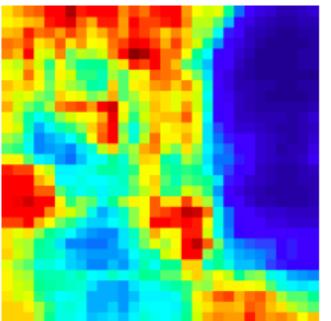
## Total activation in mostOther activations fromactive 5th layer feature mapsame feature map



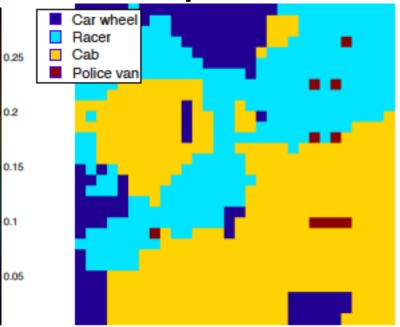




### p(True class)



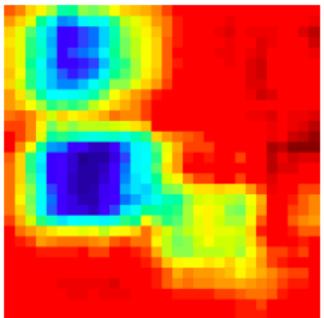
#### Most probable class



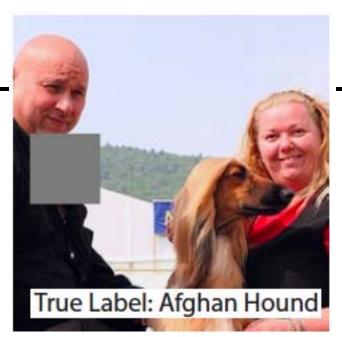


## Total activation in most active 5<sup>th</sup> layer feature map

Other activations from same feature map



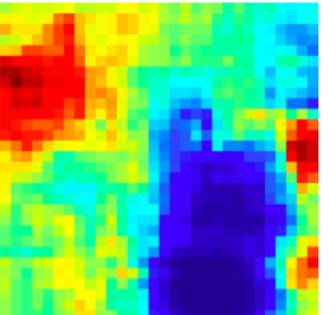




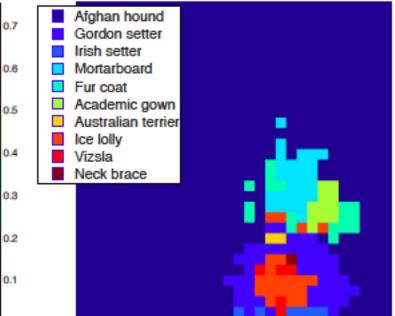
0.7

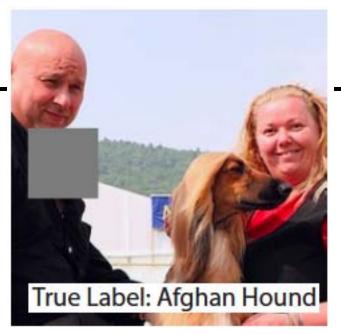
0.1

### p(True class)



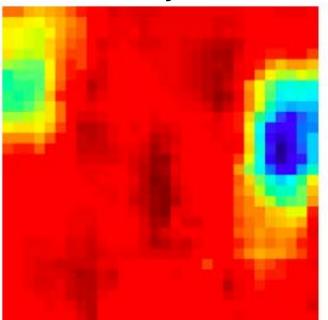
### Most probable class





## Total activation in most active 5<sup>th</sup> layer feature map

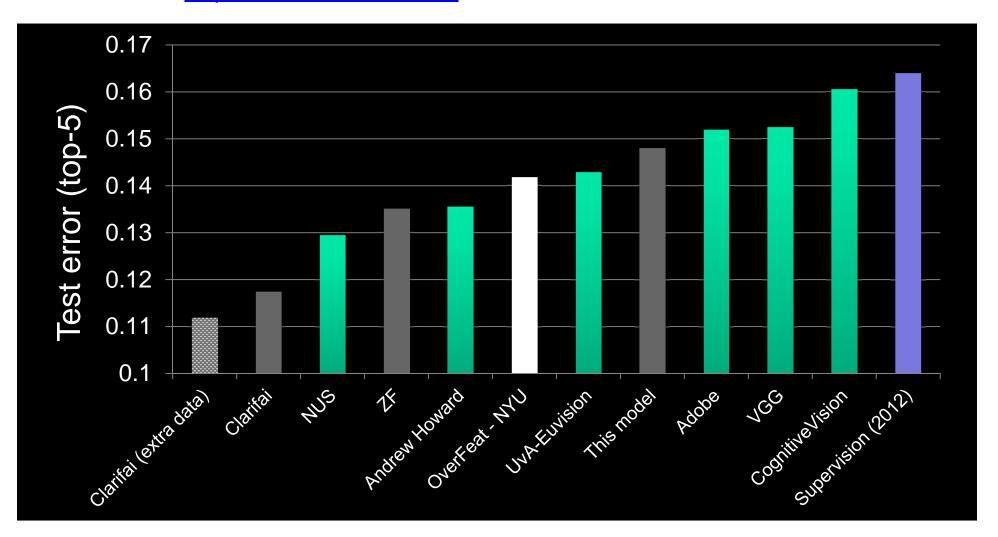
Other activations from same feature map



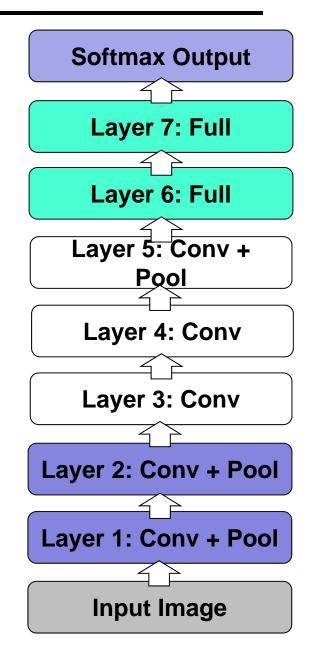


## ImageNet Classification 2013 Results

http://www.image-net.org/challenges/LSVRC/2013/results.php Demo: http://www.clarifai.com/



Architecture of Krizhevsky et al. 8 layers total Trained on ImageNet 18.1% top-5 error



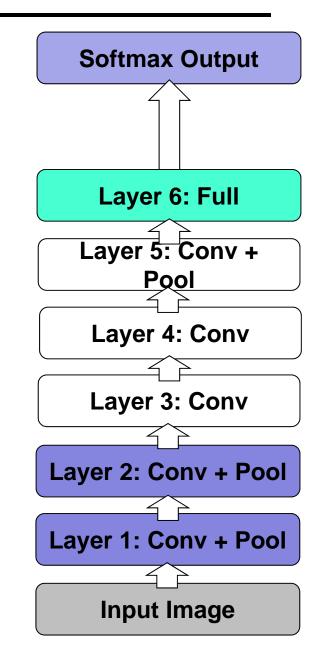
### How important is depth?

# Remove top fully connected layer

• Layer 7

# Drop 16 million parameters

# Only 1.1% drop in performance!

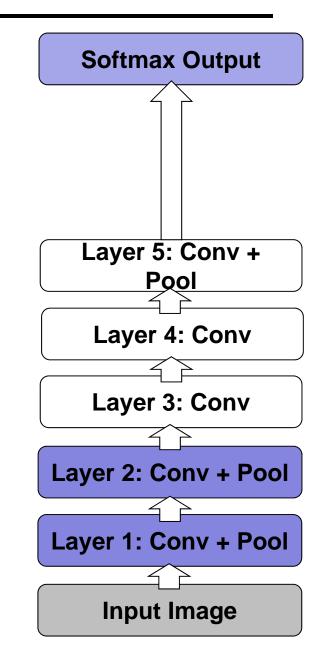


# Remove both fully connected layers

• Layer 6 & 7

Drop ~50 million parameters

5.7% drop in performance



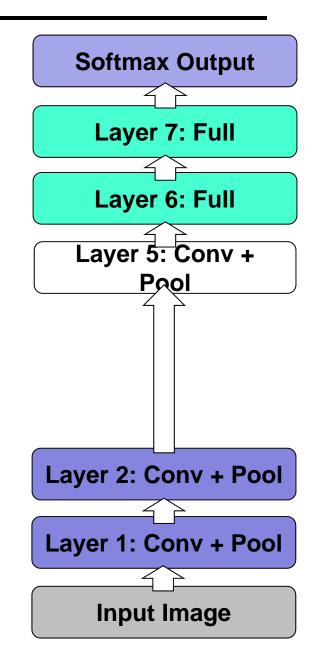
### How important is depth?

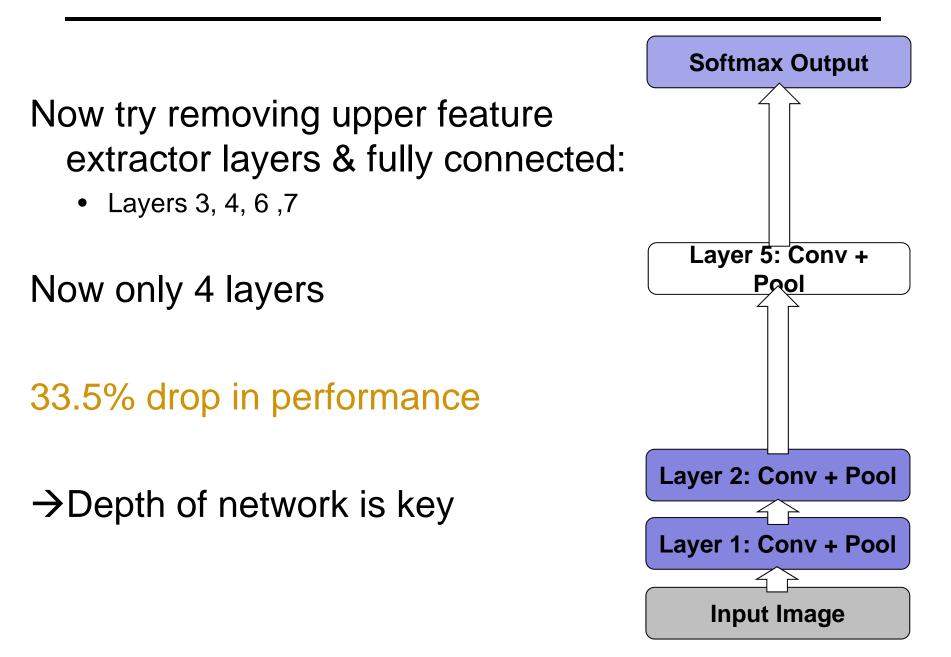
## Now try removing upper feature extractor layers:

• Layers 3 & 4

Drop ~1 million parameters

3.0% drop in performance





## Tapping off Features at each Layer

Plug features from each layer into linear SVM or soft-max

	Cal-101	Cal-256
	(30/class)	(60/class)
SVM (1)	$44.8\pm0.7$	$24.6\pm0.4$
SVM (2)	$66.2\pm0.5$	$39.6\pm0.3$
SVM (3)	$72.3\pm0.4$	$46.0\pm0.3$
SVM (4)	$76.6\pm0.4$	$51.3 \pm 0.1$
SVM (5)	$\bf 86.2 \pm 0.8$	$65.6\pm0.3$
SVM (7)	$85.5 \pm 0.4$	$71.7 \pm 0.2$
Softmax (5)	$82.9\pm0.4$	$65.7\pm0.5$
Softmax (7)	$85.4 \pm 0.4$	$72.6 \pm 0.1$

## CNN packages

- <u>Cuda-convnet</u> (Alex Krizhevsky, Google)
- <u>Caffe</u> (Y. Jia, Berkeley)
  - Replacement of deprecated <u>Decaf</u>
- Overfeat (NYU)

## Using CNN Features on Other Datasets

- Take model trained on, e.g., ImageNet 2012 training set
- Take outputs of 6<sup>th</sup> or 7<sup>th</sup> layer before or after nonlinearity
- Classify test set of new dataset
- Optional: fine-tune features and/or classifier on new dataset

### Results on misc. benchmarks

#### [1] Caltech-101 (30 samples per class)

	DeCAF <sub>5</sub>	DeCAF <sub>6</sub>	DeCAF <sub>7</sub>
LogReg LogReg with Dropout SVM SVM with Dropout	$63.29 \pm 6.6$ - 77.12 ± 1.1	$\begin{array}{c} 84.30 \pm 1.6 \\ 86.08 \pm 0.8 \\ 84.77 \pm 1.2 \\ \textbf{86.91} \pm \textbf{0.7} \end{array}$	$\begin{array}{c} 84.87 \pm 0.6 \\ 85.68 \pm 0.6 \\ 83.24 \pm 1.2 \\ 85.51 \pm 0.9 \end{array}$
Yang et al. (2009) Jarrett et al. (2009)	84.3 65.5		

[1] SUN 397 dataset (DeCAF)				
	DeCAF <sub>6</sub>	DeCAF <sub>7</sub>		
LogReg	$40.94\pm0.3$	$40.84\pm0.3$		
SVM	$39.36\pm0.3$	$40.66\pm0.3$		

Xiao et al. (2010)

38.0

#### [1] Caltech-UCSD Birds (DeCAF)

Method	Accuracy
DeCAF <sub>6</sub>	58.75
DPD + DeCAF <sub>6</sub>	<b>64.96</b>
DPD (Zhang et al., 2013)	50.98
POOF (Berg & Belhumeur, 2013)	56.78

#### [2] MIT-67 Indoor Scenes dataset (OverFeat)

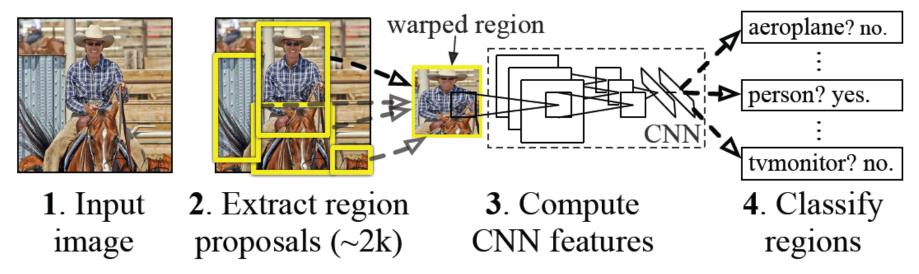
Method	mean Accuracy
ROI + Gist[36]	26.05
DPM[30]	30.40
Object Bank[25]	37.60
RBow[31]	37.93
BoP[22]	46.10
miSVM[26]	46.40
D-Parts[40]	51.40
IFV[22]	60.77
MLrep[11]	64.03
CNN-SVM	58.44

[1] J. Donahue, Y. Jia, O. Vinyals, J. Hoffman, N. Zhang, E. Tzeng, and T. Darrell, <u>DeCAF: A Deep Convolutional</u> <u>Activation Feature for Generic Visual Recognition</u>, arXiv preprint, 2014

[2] A. Razavian, H. Azizpour, J. Sullivan, and S. Carlsson, <u>CNN Features off-the-shelf: an Astounding Baseline</u> for Recognition, arXiv preprint, 2014

### **CNN** features for detection

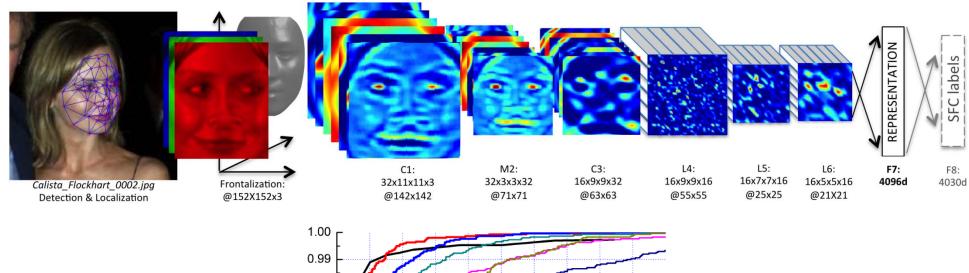
### **R-CNN:** Regions with CNN features

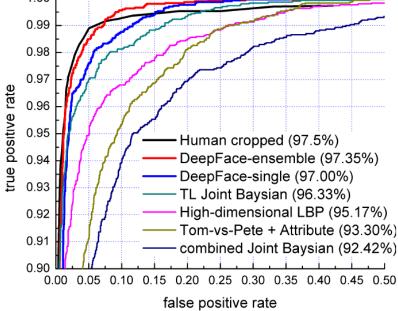


**Object detection system overview.** Our system (1) takes an input image, (2) extracts around 2000 bottom-up region proposals, (3) computes features for each proposal using a large convolutional neural network (CNN), and then (4) classifies each region using class-specific linear SVMs. R-CNN achieves a mean average precision (mAP) of 53.7% on PASCAL VOC 2010. For comparison, Uijlings et al. (2013) report 35.1% mAP using the same region proposals, but with a spatial pyramid and bag-of-visual-words approach. The popular deformable part models perform at 33.4%.

R. Girshick, J. Donahue, T. Darrell, and J. Malik, <u>Rich Feature Hierarchies for Accurate</u> <u>Object Detection and Semantic Segmentation</u>, CVPR 2014, to appear.

### CNN features for face verification





Y. Taigman, M. Yang, M. Ranzato, L. Wolf, <u>DeepFace: Closing the Gap to Human-Level</u> <u>Performance in Face Verification</u>, CVPR 2014, to appear.